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EDMUND G. BROWN JR. Attorney General of the State of California THEODORA BERGER FILED Senior Assistant Attorney General **KEN ALEX** SAN MATEO COUNTY 3 Supervising Deputy Attorney General SUSAN S. FIERING, State Bar No. 121621 DEC 24 2007 Deputy Attorney General Clerk of the Superior Court 1515 Clay Street, 20th Floor P.O. Box 70550 Oakland, CA 94612-0550 Telephone: (510) 622-2142 Fax: (510) 622-2270 Attorneys for Plaintiff People of the State of California, ex rel. Maureen F. Gorsen, Director, Department of Toxic Substances Control 10 SUPERIOR COURT OF CALIFORNIA 11 COUNTY OF SAN MATEO 12 13 14 PEOPLE OF THE STATE OF CALIFORNIA, ex CASE NO. 461975 15 rel. Maureen F. Gorsen, Director, Department of FINAL JUDGMENT Toxic Substances Control, 16 PURSUANT TO STIPULATION FOR ENTRY OF JUDGMENT Plaintiff, 17 18 v. DATE/TIME OF HENEWS: 12-19-07 AT PRECISION WORKS, INC. and DOES 1 through 19 9:00 AM. 100, $DEPT_{\cdot}: LM/28$ 20 Defendant. 21 The Court hereby enters judgment in favor of plaintiff Department of Toxic Substances 22 Control ("Department") and against defendant Precision Works, Inc. ("PWI") according to the 23 terms set forth in the Stipulation for Entry of Judgment attached hereto as Exhibit A. 24 On entry, this judgment will become final and execution may be levied on it immediately. 25 26 DEC 2 1 2007 27 Dated: 28 Judge of the Superior Court, San Mateo County

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1 2	EDMUND G. BROWN JR. Attorney General of the State of California THEODORA BERGER			
3	Senior Assistant Attorney General KEN ALEX			
4	Supervising Deputy Attorney General SUSAN S. FIERING, State Bar No. 121621 Deputy Attorney General 1515 Clay Street, 20 th Floor P.O. Box 70550 Oakland, CA 94612-0550			
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6				
7	Telephone: (510) 622-2142 Fax: (510) 622-2270			
8	Attorneys for Plaintiff People of the State of California, ex rel. Maureen F. Gorsen, Director, Department of Toxic Substances Control			
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11	SUPERIOR COURT OF CALIFORNIA			
12	COUNTY OF SAN MATEO			
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15	PEOPLE OF THE STATE OF CALIFORNIA, ex	CASE NO. 461975		
16	rel. Maureen F. Gorsen, Director, Department of Toxic Substances Control,	STIPULATION FOR ENTRY OF JUDGMENT		
17	Plaintiff,	JODGWENT		
18	v.			
19	PRECISION WORKS, INC. and DOES 1 through 100,			
20	Defendant.			
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22	1. <u>Introduction</u>			
23	On April 3, 2007, plaintiff the People of the State of California, ex rel. Maureen F. Gorsen,			
24	Director, Department of Toxic Substances Control (hereinafter collectively "Department") filed a			
25	Complaint in San Mateo County Superior Court against Precision Works, Inc. ("PWI"). The			
26	Department and PWI now settle that action on the terms set forth in this Stipulation for Entry of			
27	Judgment ("Judgment"), and stipulate that the Court may enter judgment in favor of DTSC and			
28	against PWI in accordance with the terms set forth herein.			
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2. Complaint

- 2.1 The Complaint alleges that PWI violated provisions of the Hazardous Waste Control Law, Cal. Health & Safety Code sections 25100 et seq. ("HWCL") and the implementing regulations contained at Title 22 California Code of Regulations ("22 CCR") sections 66110 et seq. with respect to the facility located at 111 Willow St., Redwood City, California, 94063 (Site). The Department alleges the following violations:
 - a. Transportation of Hazardous Wastes Without a Valid Registration Issued by the Department Health & Safe. Code § 25163, subdivision (a)(1).
 - From August 1, 2002 through March 4, 2003, PWI transported hazardous wastes without a current and valid transporter registration issued by the Department.
 - From August 1, 2002 through January 19, 2003 PWI transported hazardous waste without the insurance required for a transporter registration.
 - b. Transportation of Hazardous Waste without a Valid EPA Identification

 Number; Falsifying of EPA Identification Number Health & Saf. Code §

 25189, subd.(a).
 - On not less than fifteen different manifests during the years 2001, 2002, and 2003, PWI transported hazardous waste using an EPA identification number (CAD 002346223) that had not been issued to the hazardous waste generators serviced by PWI.
 - c. Illegal Storage of Hazardous Waste Health & Saf. Code § 25201, subdivision (a) and Cal.Code Regs. tit. 22, § 66263.18.
 - For periods of time prior to March 11, 2003, PWI stored hazardous waste without authorization from the Department. PWI stored hazardous waste in excess of 10 days and therefore did not qualify for the transfer station exemption. The 10-day holding time was exceeded for the following hazardous manifests: 20936587, 20938992, 21631696, 21631730, 21631809, 21632022, 21632025, 21632029, 21632030, 21632032, 21632036, 21632042, 21632043, 21632044, 21632045, 21632272.

- d. Changing Facility Location Without Notifying the Department Cal.Code Regs. tit 22, § 66263.15, subd. (a)(1).
 - Prior to March 11, 2003 PWI changed its business location from 421 Burlington Ave., Redwood City, California to 111 Willow Street, Redwood City, California, without notification to the Department.
- Receiving Hazardous Waste without a Manifest; Falsifying a Hazardous Waste Manifest Health & Saf. Code § 25189.2, subd. (a) and Cal.Code Regs., tit. 22, § 66263.20, subds. (a), (b), and (d).
 - Prior to March 11, 2003, PWI received and transported one container of hazardous waste solid lead from Palo Alto Unified School District (generator) to PWI's facility without a hazardous waste manifest. On March 18, 2003, PWI generated a hazardous waste manifest number 22066074 for this container representing falsely thereon that said container had been received from the generator on March 18, 2003. Respondent then submitted said manifest to the Department as true and correct.
- f. Illegal Storage of Hazardous Waste Health & Saf. Code § 25201(a).
 - From a date prior to March 11, 2003 to March 28, 2003, PWI illegally stored one container of hazardous waste solid lead generated by Palo Alto Unified School District. PWI is not an authorized storage facility.
- g. Failing to Complete Verification Questionnaires Health & Saf. Code § 25205.16, subd.(b).
 - PWI failed to complete and submit Verification Questionnaires for the years 2002 and 2003.
- 3. Admissions:
- 3.1 PWI does not admit the violations alleged above, except as follows: PWI admits the facts alleged above, except for the failure to have required insurance set out in 2.1 a. above, for the sole purpose of any subsequent action brought by the Department pursuant to the Hazardous Waste Control Law, Health and Safety Code section 25100 et seq. within ten (10) years of the

date of this Judgment.

4. Jurisdiction

- 4.1 The Department and PWI agree that the Superior Court for the County of San Mateo has subject matter jurisdiction over the matters alleged in the Complaint and personal jurisdiction over the parties to this Judgment.
 - 5. Settlement of Disputed Claims
- 5.1 The Department and PWI enter into this Judgment pursuant to a compromise and settlement of disputed claims for the purpose of avoiding prolonged and complicated litigation and furthering the public interest.
 - 6. Compliance Schedule
- 6.1 No later than thirty (30) days after the date of entry of this Judgment, PWI shall surrender all of its Identification Numbers no longer in use and provide evidence of such surrender to the Department.
- 6.2 PWI certifies that as of June, 2005 it has ceased doing business in California. In the event that PWI begins at any time to do business in California, it shall do all of the following:
 - a. PWI shall not store hazardous waste on any site from which it operates.
- b. PWI shall ensure that all waste that is taken from its job sites to landfills for disposal is properly manifested with a correct identification number.
- c. PWI shall comply with the requirements of Health and Safety Code section 25163 by only transporting hazardous waste when it holds a current and valid transporter registration issued by the Department, including all insurance required for such registration.
- d. PWI shall accurately date the receipt of hazardous waste on all hazardous waste manifests.
- e. PWI shall accurately complete all necessary information on all hazardous waste manifests, including appropriate EPA identification numbers.
- 6.3 Submittals: Except as otherwise noted, all submittals from PWI pursuant to this Judgment shall be sent to:

Susan J. Laney
Senior Hazardous Substances Scientist
Department of Toxic Substances Control
Statewide Compliance Division
8800 Cal Center Drive
Sacramento, CA 95826

- 6.4 Communications: All approvals and decisions of the Department made regarding such submittals and notifications shall be communicated to PWI in writing by a Branch Chief, Department of Toxic Substances Control, or her/her designee. No informal advice, guidance, suggestions, or comments by the Department regarding reports, plans, specifications, schedules, or any other writings by Respondent shall be construed to relieve PWI of its obligation to obtain such formal approvals as may be required.
- 6.5 Department Review and Approval: If the Department determines that any report, plan, schedule, or other document submitted for approval pursuant to this Judgment fails to comply with or satisfy the Judgment or fails to protect public health or safety or the environment, the Department may return the document to PWI with recommended changes and a date by which PWI must submit to the Department a revised document incorporating the recommended changes.
- 6.6 Liability: Nothing in this Judgment shall constitute or be construed as a satisfaction or release from liability for any conditions or claims arising as a result of past, current, or future operations of PWI, except as provided in this Judgment. Notwithstanding compliance with the terms of this Judgment, PWI may be required to take further actions as are necessary to protect public health or welfare of the environment.

7. Penalties for Noncompliance

- 7.1 Any violation by PWI of the terms of this Judgment, including without limitation, failure to comply with the provisions of paragraph 6 above shall subject PWI to costs, penalties and/or other remedies as provided by Health & Safety Code section 25188 and other applicable provisions of law.
 - 8. Settlement Amount Penalty and Administrative Costs
- 8.1 PWI shall pay DTSC a total of \$72,732 in penalty and administrative costs as set forth below.

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8.2 Judgment shall be entered against PWI and in favor of the Department in the amount of \$62,732, which is a penalty pursuant to Health & Safety Code section 25189. Interest on this payment shall accrue at the rate of ten percent (10%) per annum from the date of entry of the judgment until paid.

8.3 In addition to the penalty set out in paragraph 8.2 above, within ten (10) days of the notice of entry of Judgment by the Court, PWI shall pay \$10,000 to DTSC as administrative costs. Payment shall be made by a cashiers check or by a check from PWI's counsel's trust account made out to DTSC. The payment shall bear on its face the docket number of this proceeding, 461975, and shall be mailed to:

Department of Toxic Substances Control Accounting Office 1001 I St., 23rd Floor P.O. Box 806 Sacramento, CA 95812

Photocopies of the check shall be sent to:

Susan J. Laney
Senior Hazardous Substances Scientist
Department of Toxic Substances Control
Statewide Compliance Division
8800 Cal Center Drive
Sacramento, CA 95826

- 8.4 Payment of the \$10,000 administrative cost payment required in paragraph 8.3 above shall not be considered complete until the passage of 91 days after the date of deposit by DTSC of the above sum, without any voluntary or involuntary bankruptcy having been commenced by PWI.
- 8.5 Each party to this Judgment shall bear the attorneys' fees and other costs of litigation it has incurred in connection with this Complaint and Judgment.
 - 9. Matters Covered by this Judgment
- 9.1 This Judgment settles all violations alleged in the Complaint and in the July 1, 2004 Investigation Report issued by the Department in this matter, and any award of attorneys fees to DTSC by the Court, conditioned on all of the following: complete performance by PWI of its obligations under this Judgment, payment by PWI of the \$10,000 in administrative costs as set

8.3 above.

Judgment.

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9.2 In the event that DTSC reopens the litigation pursuant to paragraph 9.1 above, PWI waives its right to assert any defense or argument to the full amount of the penalty sought in the complaint based upon any assertion that the penalty amount is excessive in light of the financial status of the corporation, or that the corporation lacks the funds to pay the full penalty sought.

9.3 DTSC agrees that, if PWI complies with all of the conditions set out above, DTSC will not pursue any other party or individual affiliated with PWI for any amount set out in this

such time as PWI has completed its performance under this Judgment, including the passage of

91 days from the date of deposit by DTSC of PWI's \$10,000 payment required under paragraph

10. Matters Not Covered by the Judgment

- 10.1 Except as expressly provided in this Judgment, nothing in this Judgment is intended, nor shall it be construed, to preclude the Department from exercising its authority under any law, statute or regulation. This Judgment does not settle, conclude, or affect any claim which may be made by the Department against PWI or any other defendant other than those specified in paragraph 2.1 above.
- 10.2 Except as expressly provided in this Judgment, nothing in this Judgment is intended nor shall it be construed to preclude any agency, department, board or entity of the State of California from exercising its authority under any law, statute, or regulation.

11. Application of Judgment

11.1 This Judgment shall apply to and be binding upon the Department and PWI, and their directors, officers, employees, agents, successors and assigns.

1	Dated: 11/14/07		DEPARTMENT OF TOXIC SUBSTANCES CONTROL
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3		Ву	Susan J. Laney Senior Hazardous Substances Scientist
4			Statewide Compliance Division
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6	APPROVED AS TO FORM	•	
7	Dated: 11/15/07		EDMUND G. BROWN JR.
8	California		Attorney General of the State of
9 10			THEODORA BERGER Senior Assistant Attorney General KEN ALEX
11	General		Supervising Deputy Attorney
12			SUSAN S. FIERING, Deputy Attorney General
13			By: Original signed by Susan S. Fiering
14 15			SUSAN S. FIERING Deputy Attorney General Attorneys for People of the State of California, ex rel. Maureen F.
16			Gorsen, Director, Department of Toxic Substances Control
17	/ /		
18	Dated: 11/09/07		GORDON & REES
19	1		By: Original signed by Jason Meyer
20			Attorneys for defendant Precision Works, Inc.
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11	STIPULATION FOR ENTRY OF JUDGMENT		